

A Box Set

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	Mo-5998/LeA 34,074
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First Inventor or Application Identifier	Klaus Raming et al
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Title	GABA B RECEPTORS
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Express Mail Label No. EF080092618US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 26]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
4. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
- a. ☒ Computer Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
- c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- * Small Entity
13. ☐ Statement(s) ☐ Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired
14. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ of prior application No: _____ / _____
 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon if the material has been inadvertently omitted from the submitted application parts. Group / Art Unit: _____

17. CURRENT RESIDENCE ADDRESS

☒ *Customer Number or Bar Code Label*

00157

PATENT TRADEMARK OFFICE
(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone**Fax**

Name (Print/Type)

Joseph C. Gil

Registration No. (Attorney/Agent)

26.602

Signature

Date

11/17/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)
1,182.00

Complete if Known

Application Number	To be Assigned
Filing Date	Herewith
First Named Inventor	Klaus Raming et al
Examiner Name	--
Group / Art Unit	--
Attorney Docket No.	Mo-5998/LeA 34,074

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 13-3848

Deposit Account Name: Bayer Corporation

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid	
101 690	201 345	Utility filing fee		710.00	
106 310	206 155	Design filing fee			
107 480	207 240	Plant filing fee			
108 690	208 345	Reissue filing fee			
114 150	214 75	Provisional filing fee			
SUBTOTAL (1)				(\$)	710.00

2. EXTRA CLAIM FEES					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid	
103 18	203 9	Claims in excess of 20			
102 78	202 39	Independent claims in excess of 3			
104 260	204 130	Multiple dependent claim, if not paid			
109 78	209 39	** Reissue independent claims over original patent			
110 18	210 9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)				(\$)	432.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description		Fee Paid	
105 130	205 65	Surcharge - late filing fee or oath		0.00	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.		0.00	
139 130	139 130	Non-English specification		0.00	
147 2,520	147 2,520	For filing a request for reexamination		0.00	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action		0.00	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		0.00	
115 110	215 55	Extension for reply within first month		0.00	
116 380	216 190	Extension for reply within second month		0.00	
117 870	217 435	Extension for reply within third month		0.00	
118 1,360	218 680	Extension for reply within fourth month		0.00	
128 1,850	228 925	Extension for reply within fifth month		0.00	
119 300	219 150	Notice of Appeal		0.00	
120 300	220 150	Filing a brief in support of an appeal		0.00	
121 260	221 130	Request for oral hearing		0.00	
138 1,510	138 1,510	Petition to institute a public use proceeding		0.00	
140 110	240 55	Petition to revive - unavoidable		0.00	
141 1,210	241 605	Petition to revive - unintentional		0.00	
142 1,210	242 605	Utility issue fee (or reissue)		0.00	
143 430	243 215	Design issue fee		0.00	
144 580	244 290	Plant issue fee		0.00	
122 130	122 130	Petitions to the Commissioner		0.00	
123 50	123 50	Petitions related to provisional applications		0.00	
126 240	126 240	Submission of Information Disclosure Stmt		0.00	
581 40	581 40	Recording each patent assignment per property (Times number of properties)		40.00	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))		0.00	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))		0.00	
Other fee (specify)				0.00	
Other fee (specify)				0.00	
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)				(\$)	40.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Joseph C. Gil	Registration No. (Attorney/Agent)	26,602
Signature		Telephone	777-2342
		Date	11/17/00

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF)
KLAUS RAMING ET AL.)
SERIAL NUMBER: TO BE ASSIGNED)
FILED: HEREWITH)
TITLE: GABA B RECEPTORS)



37 C.F.R 1.821(F) STATEMENT VERIFYING IDENTITY OF SEQUENCE LISTING

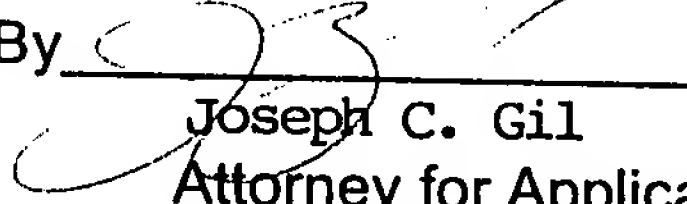
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby comply with the requirement set forth in 37 C.F.R. 1.821(f) for patent applications containing sequence listing and state that the content of the paper and computer readable form of the sequence listing in the enclosed disk containing a sequence are identical and the same.

Respectfully submitted,

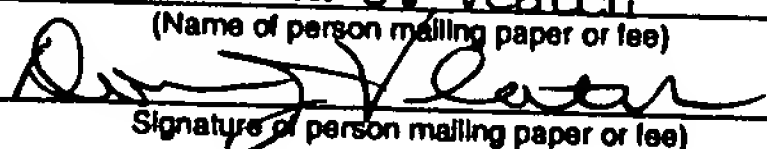
KLAUS RAMING
MARIO MEZLER
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By 
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"Express Mail" mailing label number EF080092618US
Date of Deposit November 17, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231

Donna J. Veatch
(Name of person mailing paper or fee)

Signature of person mailing paper or fee)